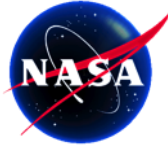


# AirMOSS

Airborne Microwave Observatory of Subcanopy & Subsurface Mission

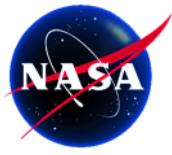


## AirMOSS soil moisture retrieval: From forest to backscatter to soil moisture

Sermsak Jaruwatanadilok, My-Linh Truong-Loi  
and Sassan Saatchi  
Jet Propulsion Laboratory  
California Institute of Technology

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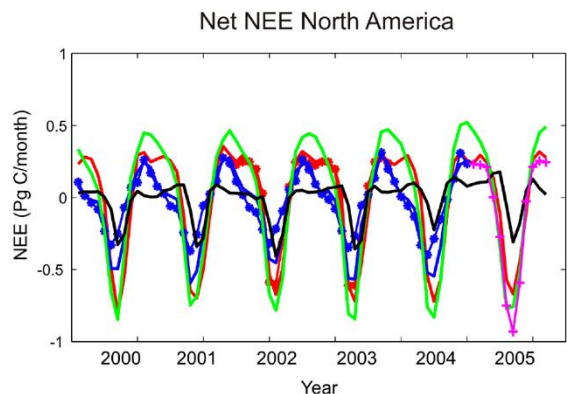




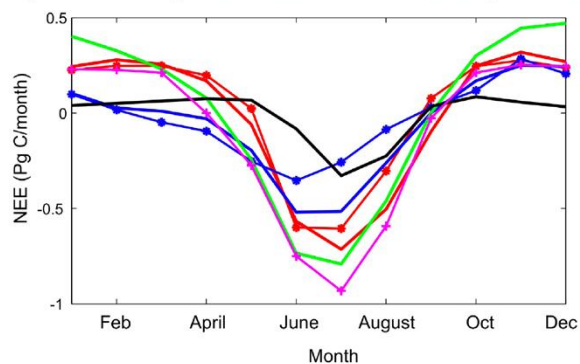
- Airborne Microwave Observatory of Subcanopy and Subsurface (AirMOSS) mission and objective
- From forest to backscatter
  - Derivation of parameters from FIA data: relationship of biomass with diameter at breast height (DBH), tree height, and tree diameter
  - Finding fit parameters
- From backscatter to soil moisture
- Field campaign

## Uncertainty in Annual and Seasonal Net Ecosystem Exchange Estimates over North America

### Bottom-up scaling

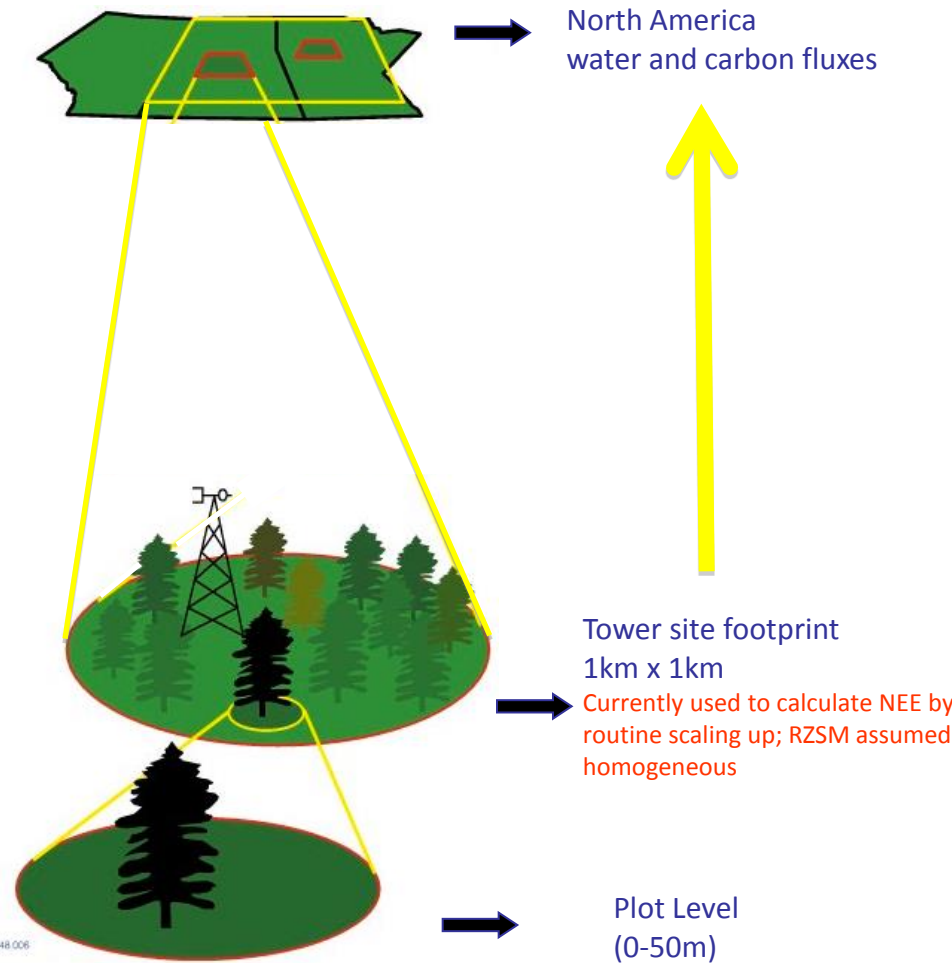


Long-term monthly mean NEE, North America (2000 - 2005)



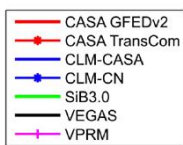
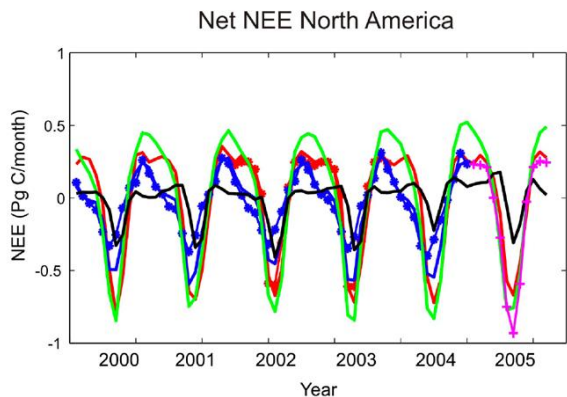
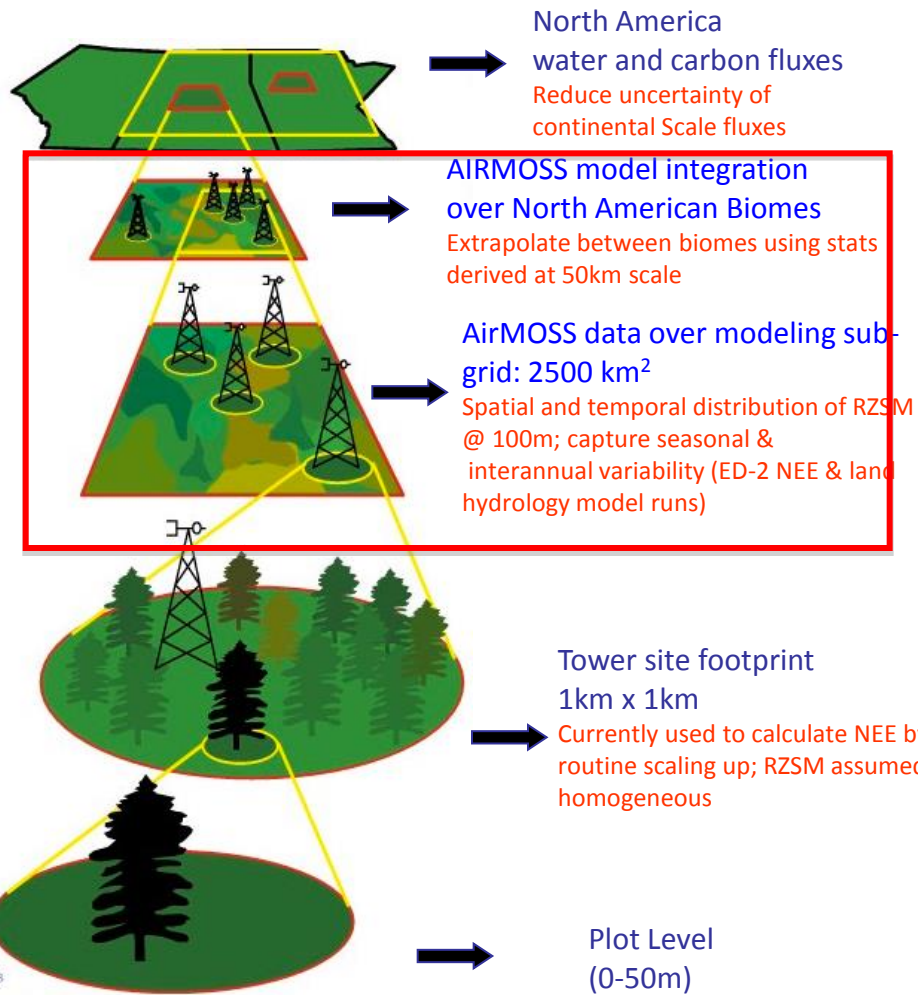
Based on spatial resolution of ~ 0.5 degree

- CASA GFEDv2
- CASA TransCom
- CLM-CASA
- CLM-CN
- SIB3.0
- VEGAS
- +— VPRM

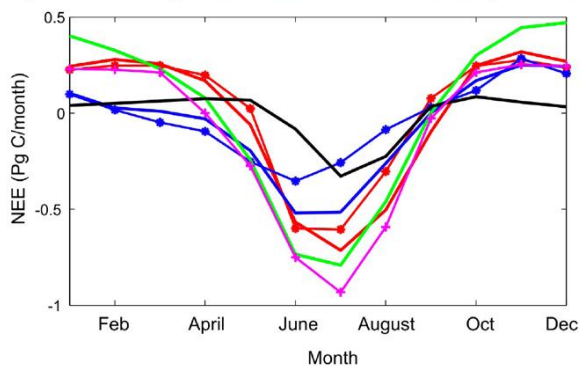


## Uncertainty in Annual and Seasonal Net Ecosystem Exchange Estimates over North America

### Bottom-up scaling



Long-term monthly mean NEE, North America (2000 - 2005)



Based on spatial resolution of ~ 0.5 degree



Temperate evergreen forest



Boreal forest



Open shrubland



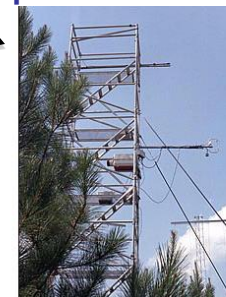
Boreal transitional



Temperate grasslands



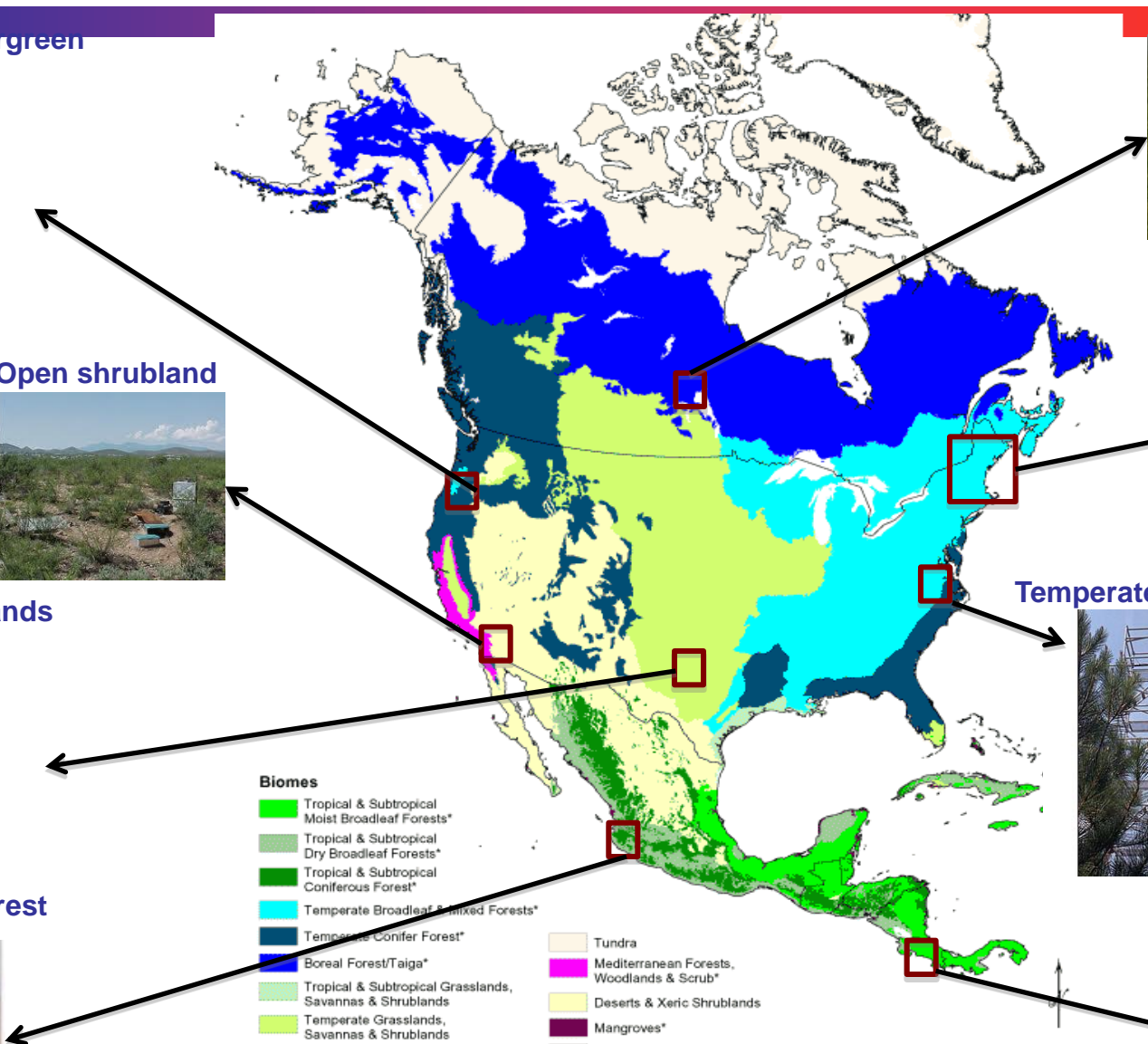
Temperate mixed forest
















Subtropical dry forest



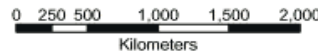
Tropical moist forest



**Biomes**

	Tropical & Subtropical Moist Broadleaf Forests*		Tundra
	Tropical & Subtropical Dry Broadleaf Forests*		Mediterranean Forests, Woodlands & Scrub*
	Tropical & Subtropical Coniferous Forest*		Deserts & Xeric Shrublands
	Temperate Broadleaf & Mixed Forests*		Mangroves*
	Temperate Conifer Forest*		Water, Snow & Ice
	Boreal Forest/Taiga*		
	Tropical & Subtropical Grasslands, Savannas & Shrublands		
	Temperate Grasslands, Savannas & Shrublands		
	Flooded Grasslands & Savannas		
	Montane Grasslands & Shrublands		

\* Included in fragmentation analyses



## Distorted Born approximation model

$$\sigma_{pq}^o = \sigma_{pq\_direct}^o + \sigma_{pq\_double\text{oun}}^o + \sigma_{pq\_surface}^o$$

$$\sigma_{pq\_direct}^o = \sigma_{pq\_crown}^o + \sigma_{pq\_trunk}^o$$

$$\sigma_{pq\_double\text{oun}}^o = \sigma_{pq\_crown-ground}^o + \sigma_{pq\_trunk-ground}^o$$

$$\sigma_{pq\_crown}^o = (\rho_l \sigma_{pqld} + \rho_{b1} \sigma_{pqbl1d} + \rho_{b2} \sigma_{pqb2d}) \left[ \frac{1 - \exp(-2 \operatorname{Im}\{K_{pc} + K_{qc}\} d_c)}{2 \operatorname{Im}\{K_{pc} + K_{qc}\}} \right]$$

$$\sigma_{pq\_trunk}^o = (\rho_t \sigma_{pqtd}) \left[ \frac{1 - \exp(-2 \operatorname{Im}\{K_{pt} + K_{qt}\} d_t)}{2 \operatorname{Im}\{K_{pt} + K_{qt}\}} \right] \exp(-2 \operatorname{Im}\{K_{pc} + K_{qc}\} d_c)$$

$$\sigma_{pq\_surface}^o = \sigma_{pqg}^o \exp(-2 \operatorname{Im}\{(K_{pc} + K_{qc}) d_c + (K_{pt} + K_{qt}) d_t\})$$

$$\sigma_{pq\_crown-ground}^o = (\rho_l \sigma_{pqldk} + \rho_b \sigma_{pqbd1} + \rho_{b2} \sigma_{pqbd2}) r_g |\Gamma_p|^2 \left[ \frac{1 - \exp(-2 \operatorname{Im}\{K_{qc} - K_{pc}\} d_c)}{2 \operatorname{Im}\{K_{qc} - K_{pc}\}} \right]$$

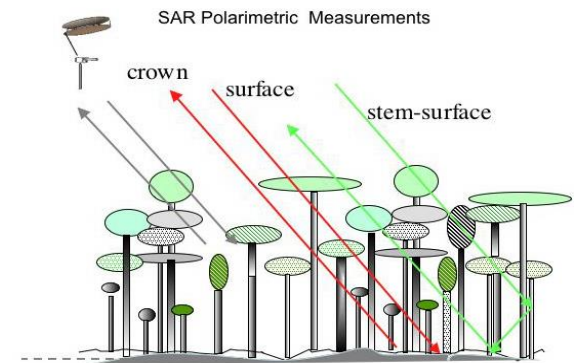
$$+ (\rho_l \sigma_{pqld2} + \rho_b \sigma_{pqbd2} + \rho_{b2} \sigma_{pqbd2}) r_g |\Gamma_q|^2 \left[ \frac{1 - \exp(-2 \operatorname{Im}\{K_{pc} - K_{qc}\} d_c)}{2 \operatorname{Im}\{K_{pc} - K_{qc}\}} \right]$$

$$+ 2 \operatorname{Re} \left\{ (\rho_l \sigma_{pqldk2} + \rho_b \sigma_{pqbd12} + \rho_{b2} \sigma_{pqbd22}) r_g (\Gamma_q \Gamma_q^*) \left[ \frac{1 - \exp(-2i \operatorname{Re}\{K_{pc} - K_{qc}\} d_c)}{2i \operatorname{Re}\{K_{pc} - K_{qc}\}} \right] \right\}$$

$$\sigma_{pq\_trunk-ground}^o = (\rho_t \sigma_{pqtd1}) r_g |R_p|^2 \left[ \frac{1 - \exp(-2 \operatorname{Im}\{K_{qt} - K_{pt}\} d_t)}{2 \operatorname{Im}\{K_{qt} - K_{pt}\}} \right] \beta_t$$

$$+ (\rho_t \sigma_{pqtd2}) r_g |R_q|^2 \left[ \frac{1 - \exp(-2 \operatorname{Im}\{K_{pt} - K_{qt}\} d_t)}{2 \operatorname{Im}\{K_{pt} - K_{qt}\}} \right] \beta_t$$

$$+ 2 \operatorname{Re} \left\{ (\rho_t \sigma_{pqtd12}) r_g (R_q R_q^*) \left[ \frac{1 - \exp(-2i \operatorname{Re}\{K_{pt} - K_{qt}\} d_t)}{2i \operatorname{Re}\{K_{pt} - K_{qt}\}} \right] \right\}$$



$$r_g = \exp(-4k_o^2 s^2 \cos^2 \theta)$$

$$\Gamma_p = R_p \exp(2i[K_{pc} d_c + K_{pt} d_t])$$

$$\sigma_{pqcd} = 4\pi \langle |f_{pqcd}|^2 \rangle, \sigma_{pqcd1} = 4\pi \langle |f_{pqcd1}|^2 \rangle, \sigma_{pqcd2} = 4\pi \langle |f_{pqcd2}|^2 \rangle,$$

$$\sigma_{pqcd12} = 4\pi \langle f_{pqcd1} f_{pqcd1}^* \rangle$$

$$K_{qc} = k_o \cos \theta + \frac{2\pi}{k_o \cos \theta} [\rho_l \langle f_{qql}^f \rangle + \rho_{b1} \langle f_{qbb1}^f \rangle + \rho_{b2} \langle f_{qbb2}^f \rangle]$$

$$K_{qt} = k_o \cos \theta + \frac{2\pi}{k_o \cos \theta} [\rho_t \langle f_{qqt}^f \rangle]$$

## Simplification of the distorted Born approximation

Born approximation model requires detailed information about vegetation structure

$$S_{HH}^0 = A_{HH} \cos q_0 W^{a_{HH}} (1 - \exp(-B_{HH} W^{b_{HH}} \sec q_0)) + C_{HH} G_{HH} W^{d_{HH}} \sin(q_0) \exp(-B_{HH} W^{b_{HH}} \sec q_0) + S_{HH} \exp(-B_{HH} W^{b_{HH}} \sec q_0)$$

$$S_{VV}^0 = A_{VV} \cos q_0 W^{a_{VV}} (1 - \exp(-B_{VV} W^{b_{VV}} \sec q_0)) + C_{VV} G_{VV} W^{d_{VV}} \sin(q_0) \exp(-B_{VV} W^{b_{VV}} \sec q_0) + S_{VV} \exp(-B_{VV} W^{b_{VV}} \sec q_0)$$

$$S_{HV}^0 = A_{HV} \cos q_0 W^{a_{HV}} (1 - \exp(-B_{HV} W^{b_{HV}} \sec q_0)) + C_{HV} G_{HV} W^{d_{HV}} \sin(q_0) \exp(-B_{HV} W^{b_{HV}} \sec q_0) + S_{HV} \exp(-B_{HV} W^{b_{HV}} \sec q_0)$$

W is the biomass (Mg/ha)

$$G_{pq} = R_p R_q^* \exp(-4k^2 s^2 \cos^2(q_0))$$

s is the rms height

k is the wavenumber

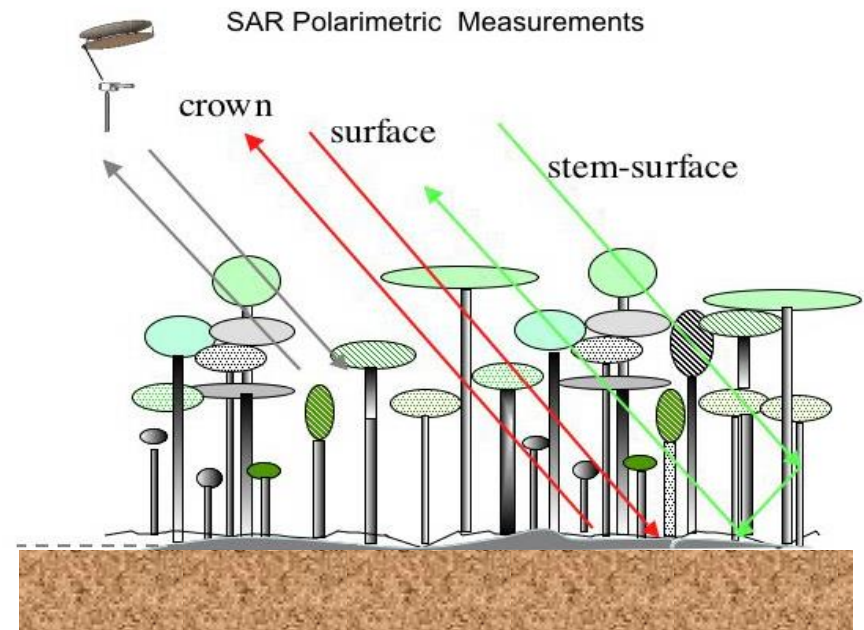
$R_p$  and  $R_q$  are the Fresnel reflection coefficients

$S_{HH}$ ,  $S_{VV}$  and  $S_{HV}$  are the scattering term from bare soil su

$\theta_0$  is the local incidence angle

$\alpha_{pq}$ ,  $\beta_{pq}$ ,  $\delta_{pq}$  are structural parameters

$A_{pq}$ ,  $B_{pq}$  and  $C_{pq}$  are calibration factors



- Forest Inventory Agency (FIA) data provides
  - Tree species
  - Tree height
  - Tree diameter
  - Density of tree and more
- We find fits for these information as a function of above ground biomass (AGB)
- Using the information for fits, we simulate backscatter and its component (direct, direct reflect, and the exponential decay factor) using distort Born model
- We capture information on average for each forest in 'structure parameters'  $\alpha_{pq}$ ,  $\beta_{pq}$ ,  $\delta_{pq}$  as a function of biomass



- Trunk parameter

- Use data for these relationship:

- AGB with Basal Area (BA)
- AGB with total tree Height (H)
- AGB with average Diameter (D)

- Tree Per Hectare (TPH) =  $4BA/(\pi \cdot D^2)$

- Use Jenkin's eq. to get Biomass of Trunk (Bt)

- Height of Trunk (Ht) =  $4BT/(gt \cdot TPH \cdot \pi D^2)$

where gt is the specific gravity of trunk

- Crown height = H – Ht

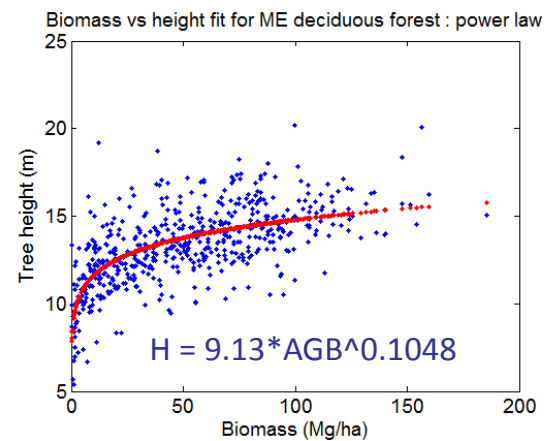
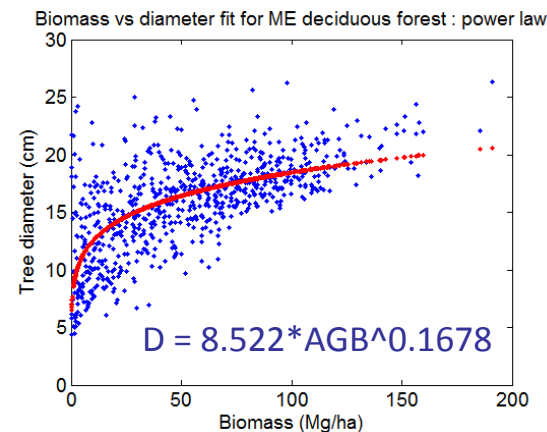
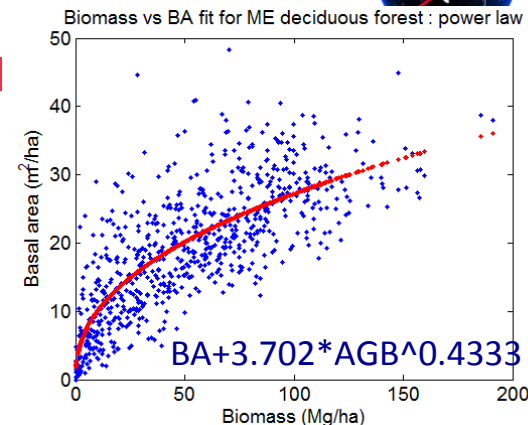
- Branch parameter

- Use Jenkin's eq to get Biomass of branch -> Bb

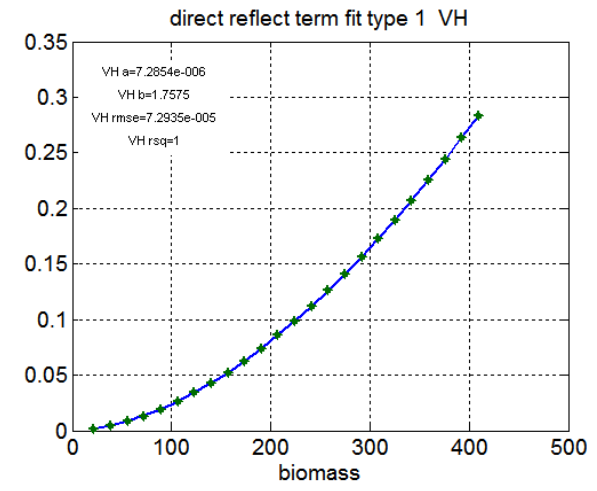
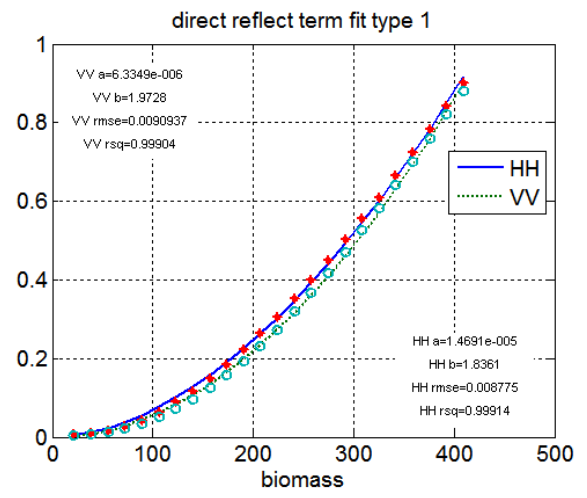
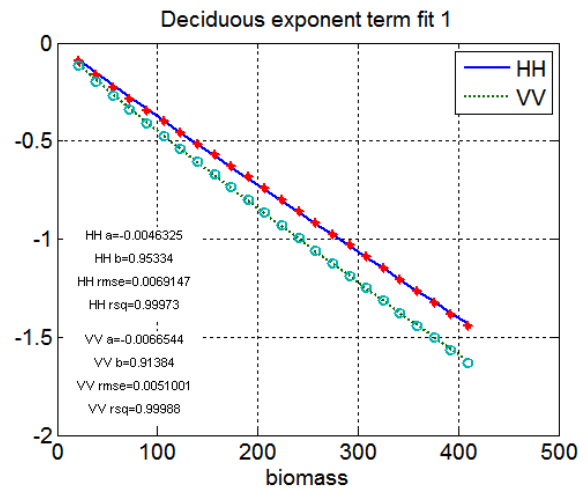
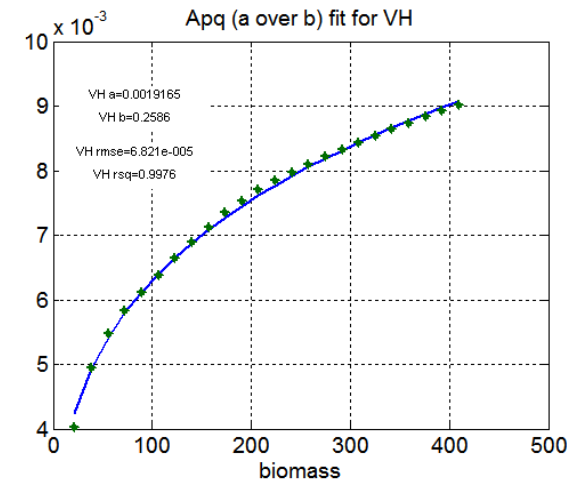
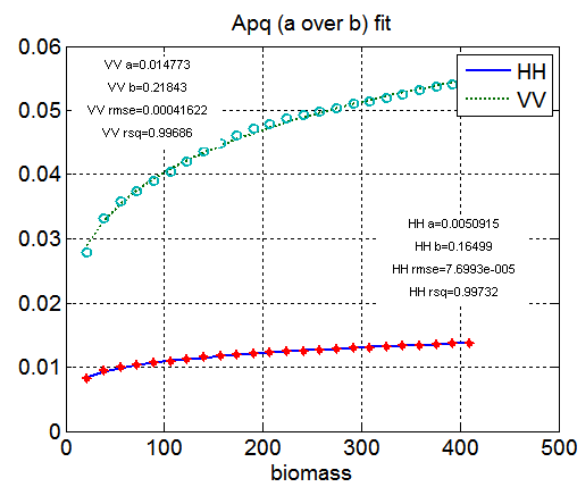
- Interpolate branch length using relationship of AGB and trunk height \* a factor (0.2)

- Interpolate branch diameter using relationship of AGB and trunk diameter \* a factor (0.2)

- Branch density (BPH) =  $4 \cdot Bb / (gb \cdot \pi \cdot Db^2 \cdot Lb)$   
where gb is the specific gravity of branch



	$a_{pq}$	$b_{pq}$	$d_{pq}$
HH	0.16499	0.95334	1.8361
VV	0.21843	0.91384	1.9728
HV	0.2568		1.7575

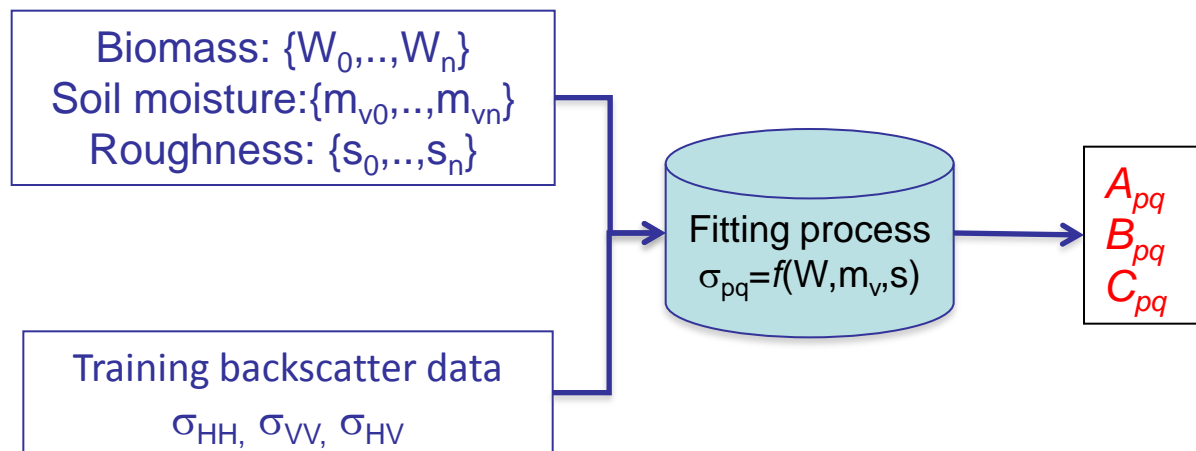


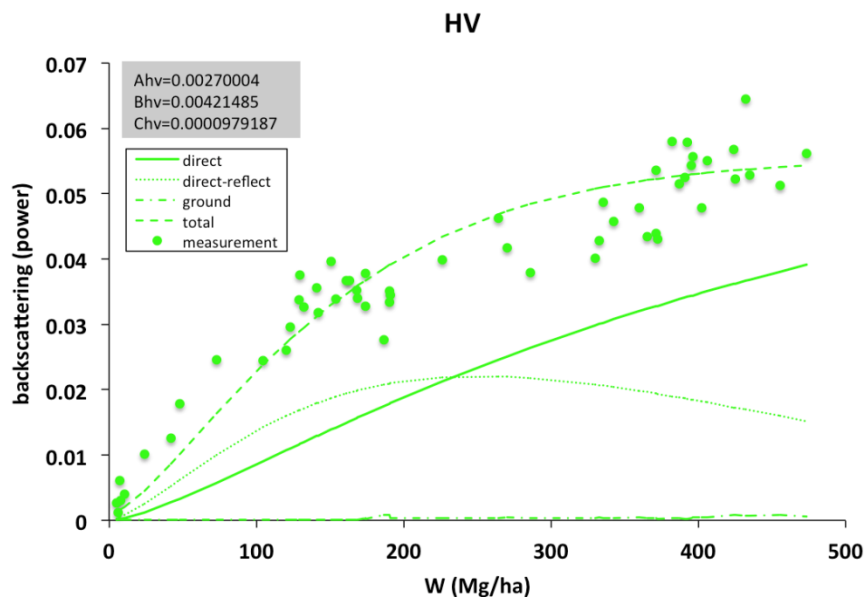
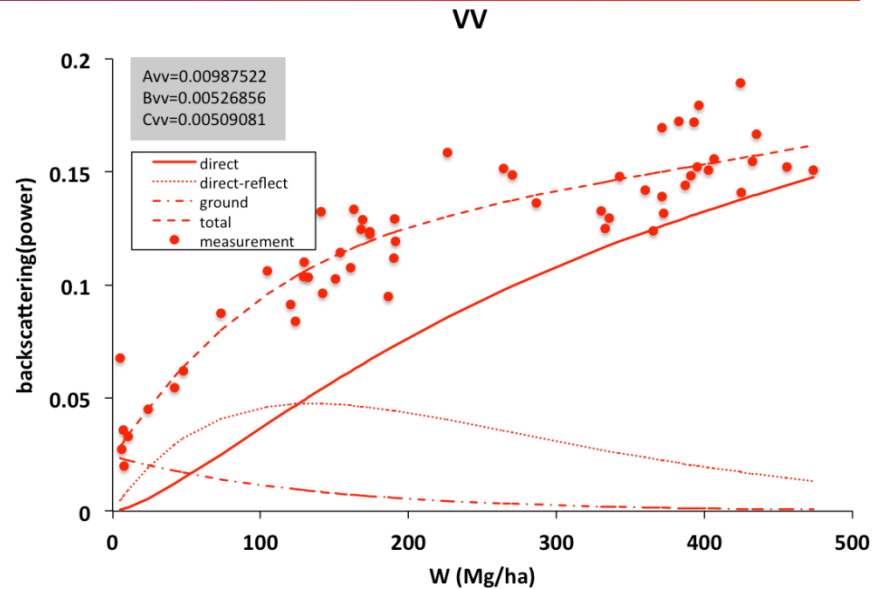
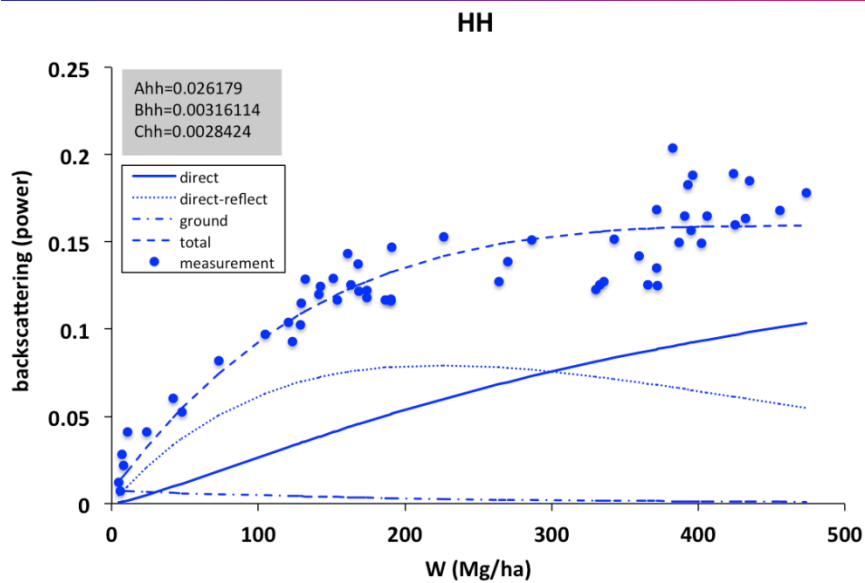
$$S_{HH}^0 = A_{HH} \cos q_0 W^{a_{HH}} (1 - \exp(-B_{HH} W^{b_{HH}} \sec q_0)) + C_{HH} G_{HH} W^{d_{HH}} \sin(q_0) \exp(-B_{HH} W^{b_{HH}} \sec q_0) + S_{HH} \exp(-B_{HH} W^{b_{HH}} \sec q_0)$$

$$S_{VV}^0 = A_{VV} \cos q_0 W^{a_{VV}} (1 - \exp(-B_{VV} W^{b_{VV}} \sec q_0)) + C_{VV} G_{VV} W^{d_{VV}} \sin(q_0) \exp(-B_{VV} W^{b_{VV}} \sec q_0) + S_{VV} \exp(-B_{VV} W^{b_{VV}} \sec q_0)$$

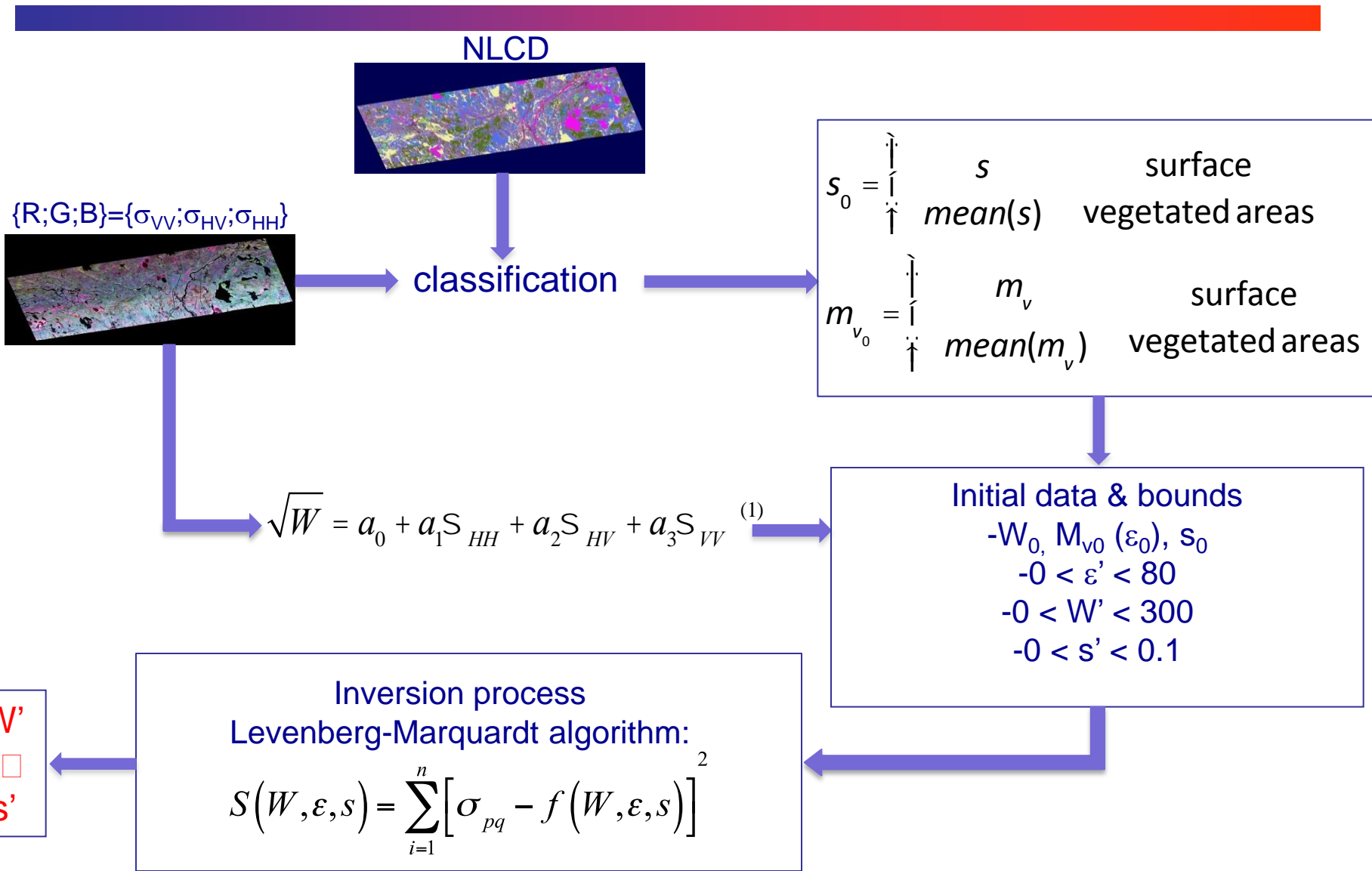
$$S_{HV}^0 = A_{HV} \cos q_0 W^{a_{HV}} (1 - \exp(-B_{HV} W^{b_{HV}} \sec q_0)) + C_{HV} G_{HV} W^{d_{HV}} \sin(q_0) \exp(-B_{HV} W^{b_{HV}} \sec q_0) + S_{HV} \exp(-B_{HV} W^{b_{HV}} \sec q_0)$$

- Calibrated SAR data
- Use average soil moisture and roughness for the site
- Use plot level biomass values
- Create a series of points to estimate coefficients  $A_{pq}$ ,  $B_{pq}$ ,  $C_{pq}$





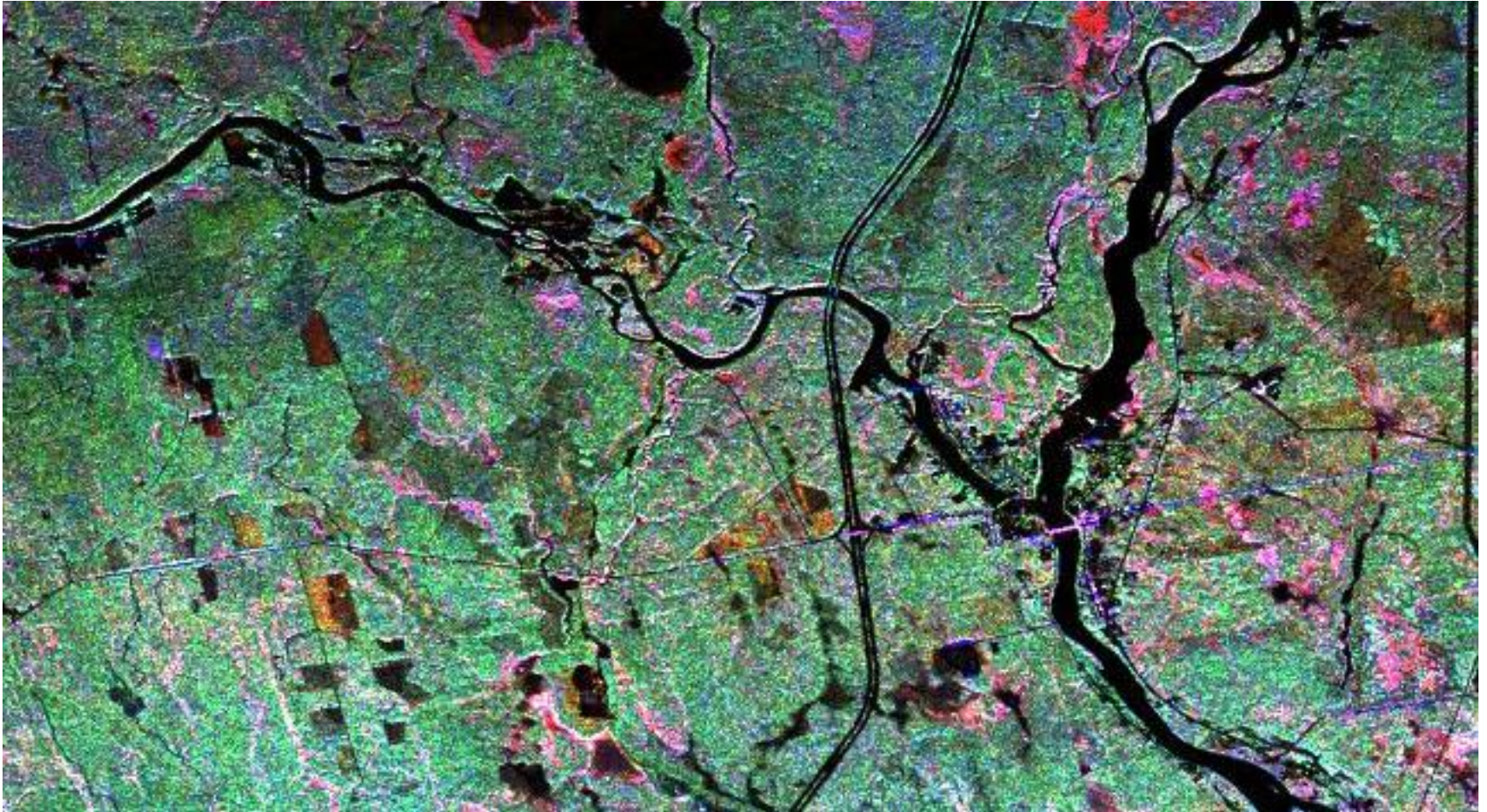
- - - total
- volume
- - - double-bounce
- . . surface
- • • measurements



(1) "Impact of spatial variability of tropical forest structure on radar estimation of aboveground biomass", S. Saatchi, M. Marlier, R. L. Chazdon, D. B. Clark, A. E. Russell, Remote Sensing of Environment, vol. 115, no. 11, pp. 2836-2849, 2011.



AirSAR data - Howland forest – Maine – October 1994

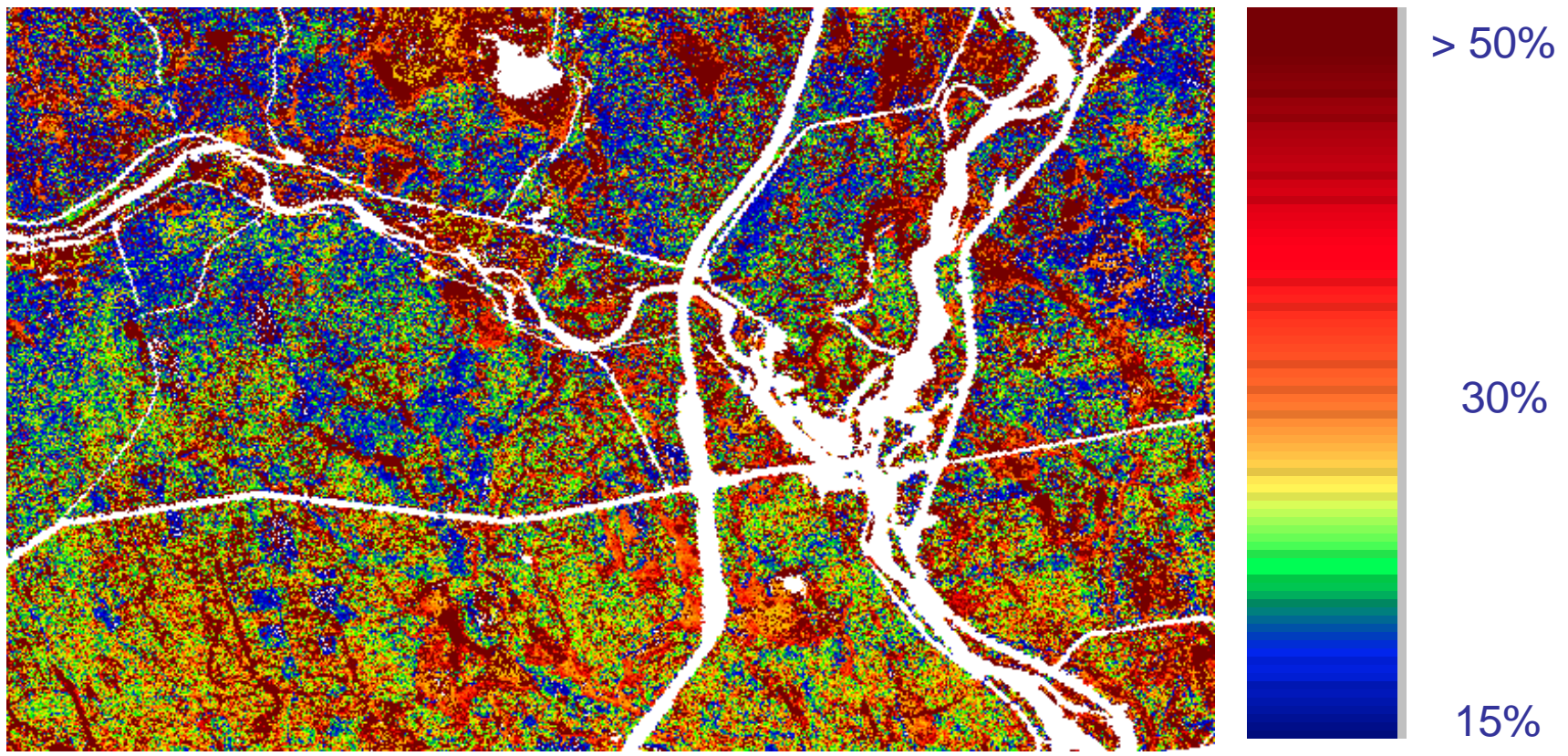


$\sigma_{VV}$  ;  $\sigma_{HV}$  ;  $\sigma_{HH}$

Pixel Size: 1 arcsec



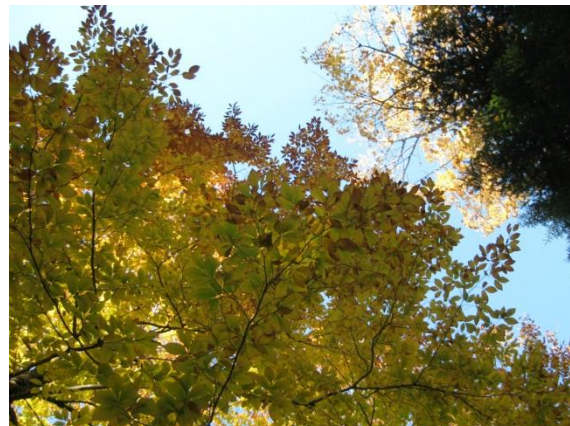
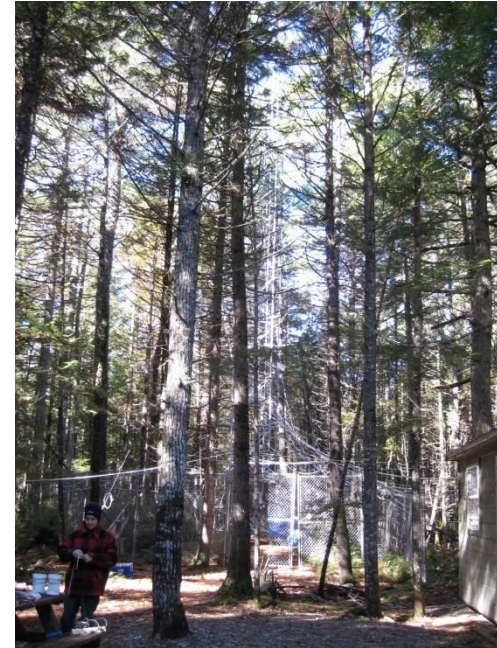
# Soil moisture map



$0 < mv < 50\%$   
Ground measurement = 18.4%  
Estimated value on this particular point = 21.5%



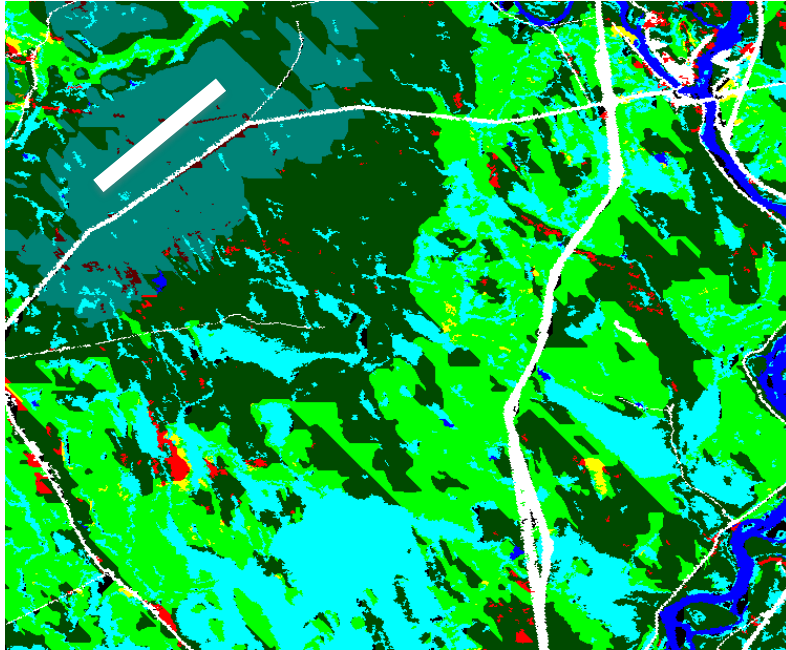
## Howland forest – October 2012



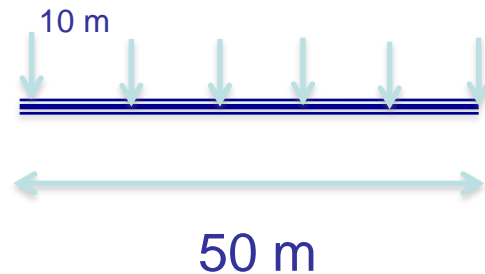


# Soil Moisture TDR Sensors





1 km transects with sampling at 50m intervals with GPS at each location



Collect 5 parallel 50 m transects  
With sampling at 10m intervals  
with GPS at each location







THANK YOU! QUESTIONS?